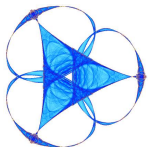
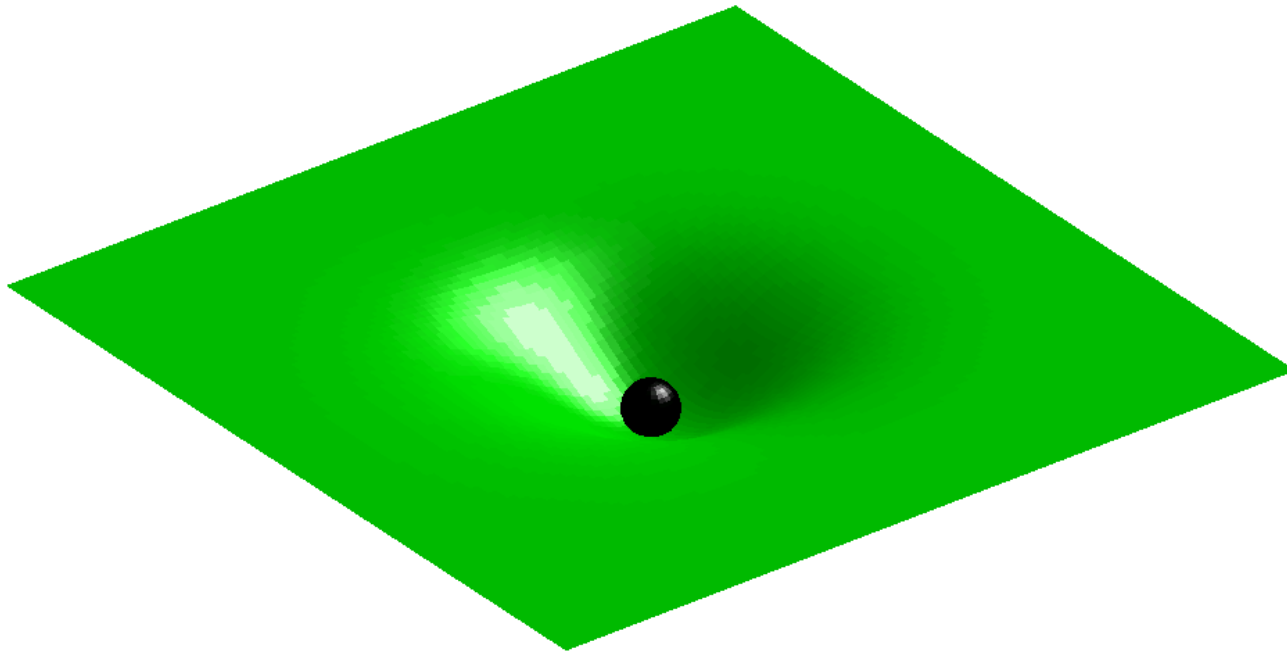


# A quick introduction to the Einstein equations

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The Einstein equations are **simple geometrical equations** to be satisfied by a metric of signature  $-+++$  on the 4-manifold representing spacetime. More specifically, they constrain the curvature tensor associated to the metric.

It is evident, geometrically, that there is a great deal of **non-uniqueness** in the Einstein equations.

If we coordinatize the manifold the equations can be viewed as 10 **very complicated PDEs** for the 10 component functions of the metric.

# The coordinate-free viewpoint: geometry

## Vector space concepts

$V$  an finite dimensional vector space;  $V^*$  its dual;

N.B.: there is a canonical identification  $V \cong V^{**}$ , but not  $V \cong V^*$

tensor product  $V \otimes W$ ;

$V \otimes W$  can be thought of as bilinear maps on  $V^* \times W^*$  or linear maps from  $V^*$  to  $W$  or linear maps from  $W^*$  to  $V$

$$\underbrace{V \otimes \dots \otimes V}_k \otimes \underbrace{V^* \otimes \dots \otimes V^*}_l \cong \text{multilinear maps } \underbrace{V^* \times \dots \times V^*}_k \times \underbrace{V \times \dots \times V}_l \rightarrow \mathbb{R}$$

Since  $V^* \otimes V$  is linear maps from  $V \rightarrow V$ ,

$\exists \text{ tr} : V^* \otimes V \rightarrow \mathbb{R}$  given by  $\text{tr}(f \otimes v) = f(v)$

## Inner product concepts

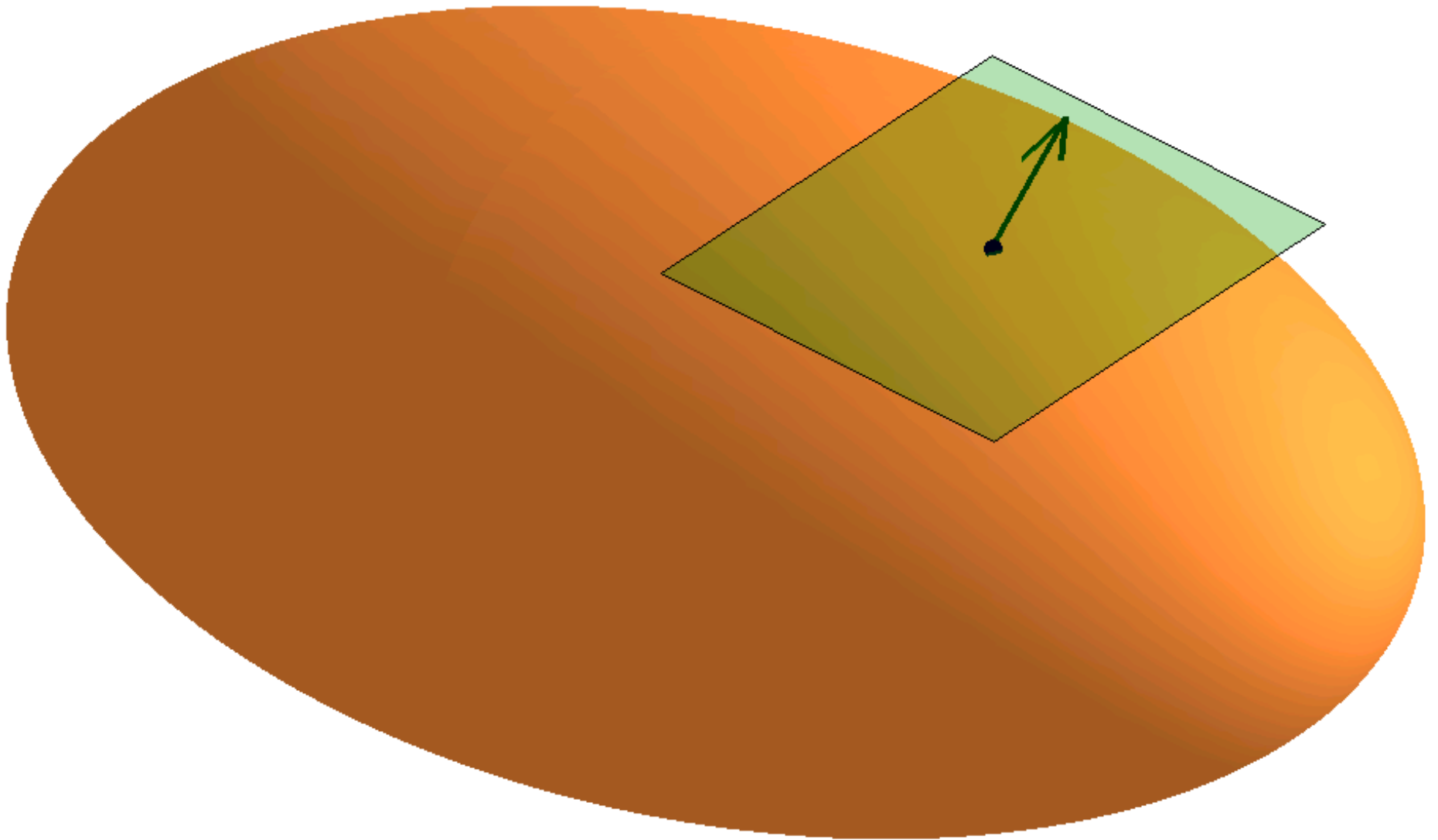
A (pseudo) inner product is a symmetric bilinear map  $a : V \times V \rightarrow \mathbb{R}$  (so an element of  $V^* \otimes V^*$ ) which is non-degenerate:  $a(v, \cdot) \neq 0$  if  $v \neq 0$ .

Given an inner product we can assign every vector a squared length  $a(v, v)$ . It is not 0 if  $v \neq 0$ , but it can be negative.

**Orthonormal basis:**  $a(e_i, e_j) = \pm \delta_{ij}$ . The number of pluses and minuses is basis-independent, the **signature** of the inner product.

An inner product establishes a canonical identification  $V \cong V^*$

# Manifold concepts



# Tensors on manifolds

$M$  an  $n$ -manifold,  $p \in M$ ,  $T_p M$  the tangent space of  $M$  at  $p$ ,  
 $(T_p M)^*$  the cotangent space

$$T_p^{(k,l)} M := \underbrace{T_p M \otimes \cdots \otimes T_p M}_k \otimes \underbrace{T_p M^* \otimes \cdots \otimes T_p M^*}_l$$

Maps  $p \in M \mapsto v_p \in T_p^{(k,l)} M$ , are called  $(k, l)$ -tensors

$(0, 0)$ -tensors: functions  $M \rightarrow \mathbb{R}$ ;  $(1, 0)$ -tensors: vector fields on  $M$ ;  
 $(0, 1)$ -tensors: covector fields on  $M$

A  $(k, l)$ -tensor is a machine that at each  $p$  takes  $k$  tangent covectors and  $l$  tangent vectors and returns a number (multilinear in the (co)vectors, smooth in  $p$ ).

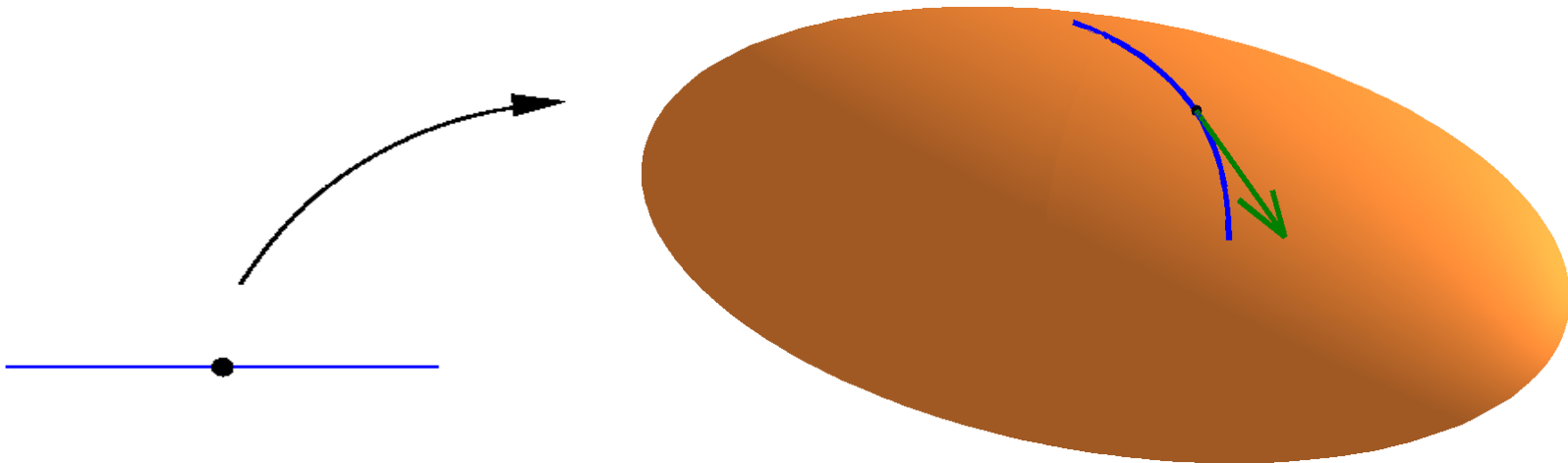
*All physical quantities in relativity are modeled as tensors.*

## Maps between manifolds

If  $\phi : M \rightarrow N$  is smooth and  $p \in M$ , then

$d\phi_p : T_pM \rightarrow T_{\phi(p)}N$  is a linear map. For  $v \in T_pM$ ,  $d\phi_p v \in T_{\phi(p)}N$  is also denoted  $\phi_*v$ , the push-forward of  $v$ .

For  $I$  an interval about 0,  $\gamma : I \rightarrow M$  a curve, then  $\gamma'(0) := d\gamma_0 1$  is a tangent vector at  $\gamma(0)$ .



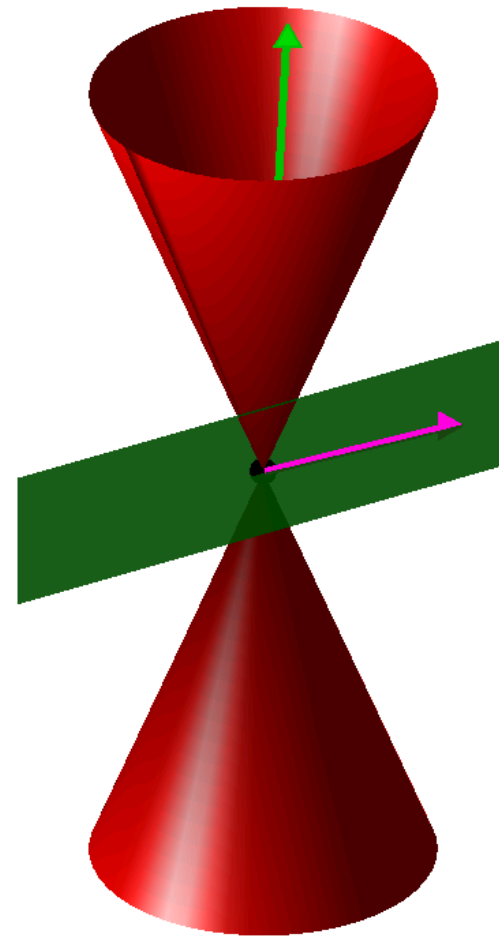
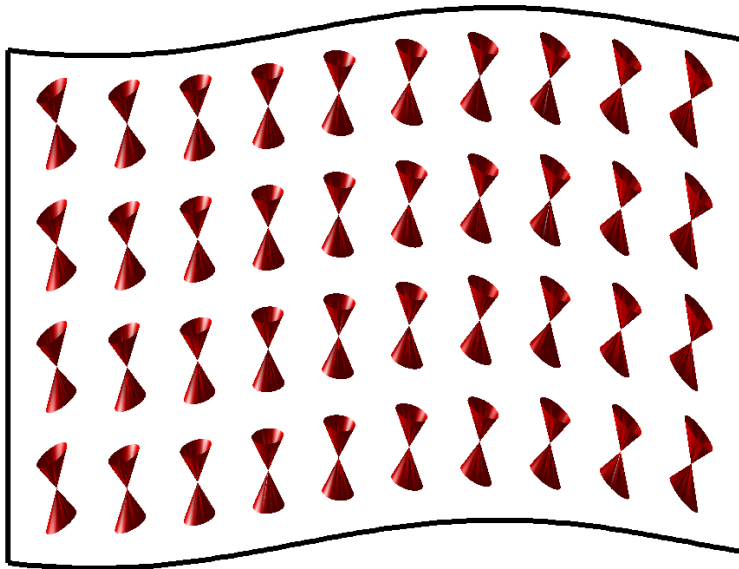
If  $f : M \rightarrow \mathbb{R}$ , then  $df_p$  is a linear map  $T_pM$  to  $\mathbb{R}$ , i.e.,  $df$  is a covector field.



# Metrics on manifolds

A pseudo Riemannian metric is a symmetric, non-degenerate  $(0, 2)$ -tensor, i.e., at each point  $p$ , an inner product on  $T_pM$

The Einstein equations are concerned with assigning to a manifold a metric with signature  $-$  properties.



## Abstract index notation

For  $(k, l)$ -tensors, use symbols adorned with  $k$  superscripts and  $l$  subscripts  $a, b, \dots$

$v^a$  is a vector field,  $w_b$  is a covectorfield,  $R^d_{abc}$  is a  $(1, 3)$ -field, etc.

The indices themselves have no meaning (like the  $\vec{\phantom{v}}$  in  $\vec{v}$ ).

The tensor product of  $v^a_b$  and  $w_c^{ab}$  is written  $v^a_b w_c^{cd}$ .

Counting sub- and superscripts shows it to be a  $(3, 2)$ -tensor.

The trace of a  $(1, 1)$ -tensor is indicated by a repeated index:

$v^a_a$  (Repeated sub-/superscripts aren't counted.)

$v^abc_{ad}$  trace of a  $(3, 2)$ -tensor wrt the first covector and vector variables, a  $(2, 1)$ -tensor.

## Symmetry notation

$v_{(ab)} := \frac{1}{2}(v_{ab} + v_{ba})$ , the symmetric part of  $v_{ab}$

$v_{[ab]} := \frac{1}{2}(v_{ab} - v_{ba})$ , the antisymmetric part of  $v_{ab}$

$v_{(ab)c} := \frac{1}{2}(v_{abc} + v_{bac})$

$v_{(abc)} := \frac{1}{6}(v_{abc} + v_{bca} + v_{cab} + v_{bac} + v_{cba} + v_{acb})$

## Index lowering and raising

If a metric  $g_{ab}$  is specified, we can identify a covector with a vector. We write  $v_a$  for the vector identified with  $v^b$ :

$$v_a = g_{ab}v^b$$

This can apply to one index of many:  $g_{ce}w_{ab}^{ed} = w_{abc}^d$ ,  
or several:  $g_{ce}g_{df}w_{ab}^{ef} = w_{abcd}$

Applied to the metric we find  $g_a^b$  is the identity  $\delta_a^b$ , and  $g^{ab}$  is the “inverse metric,” which can be used to raise indices:

$$v^a = g^{ab}v_b$$

## Covariant differentiation

Given a function  $f : M \rightarrow \mathbb{R}$  and a vector  $V^a \in T_p M$  there is a natural way to define the directional derivative  $V^a \nabla_a f$ :

$$V^a \nabla_a f(p) = \lim_{\epsilon \rightarrow 0} \frac{f("p + \epsilon V^a") - f(p)}{\epsilon}.$$

By " $p + \epsilon V^a$ " we mean  $\gamma(\epsilon)$  where  $\gamma : \mathbb{R} \rightarrow M$  is a curve with  $\gamma(0) = p$ ,  $\gamma'(0) = V^a$ .

Thus  $\nabla_a f$  is a covector field, which we previously called  $df$ .

It is not possible to define the directional derivative of a vector field  $v^b$  in the same way, because  $v^b("p + \epsilon V^a") - v^b(p)$  involves the difference of vectors in different spaces.

## Covariant differentiation and parallel transport

If a metric  $g_{ab}$  is specified, this determines a way to **parallel transport** a vector along a curve. Using this we can define  $\nabla_a f^b$ . Using the Leibnitz rule this easily extends to tensors of arbitrary variance. In this way we get a linear operator  $\nabla$  from  $(k, l)$ -tensors to  $(k, l + 1)$ -tensors for all  $k, l$ . It satisfies the Leibniz rule, commutes with traces, gives the right result on scalar field, satisfies the symmetry

$$\nabla_a \nabla_b f = \nabla_b \nabla_a f, \quad f : M \rightarrow \mathbb{R}$$

and *is compatible with the metric*:

$$\nabla_a g_{bc} = 0.$$

This characterizes the covariant differentiation operator.

# Riemann curvature tensor

It is not true that the second covariant derivative is symmetric when applied to vectors. Instead

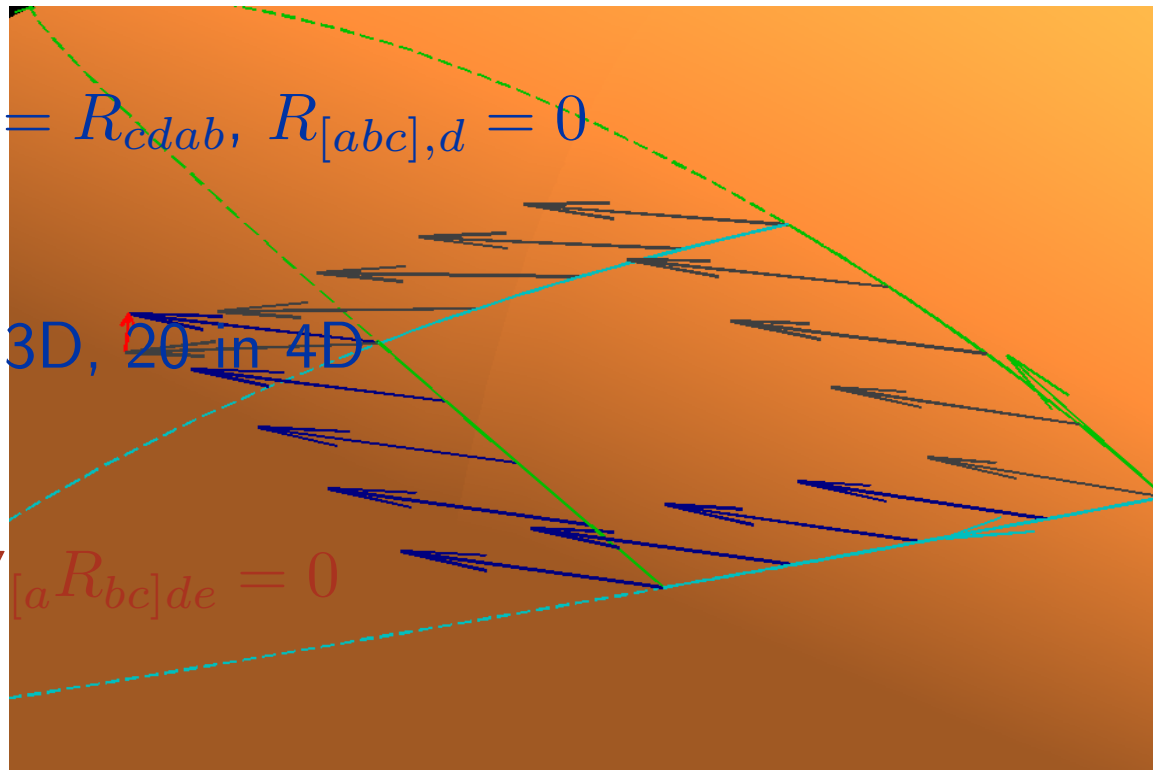
$$(\nabla_a \nabla_b - \nabla_b \nabla_a)v^d = \frac{1}{2}R^d_{abc}v^c$$

for some tensor  $R^d_{abc}$ , called the **Riemann curvature tensor**.

$$R_{(ab)cd} = 0, R_{abcd} = R_{cdab}, R_{[abc],d} = 0$$

1 DOF in 2D, 6 in 3D, 20 in 4D

Bianchi identity:  $\nabla_{[a}R_{bc]de} = 0$



## Ricci tensor, scalar curvature, Einstein tensor

The **Ricci tensor** is the trace of the Riemann tensor:

$$R_{ab} = R^d{}_{adb}$$

The **scalar curvature** is its trace:  $R = R^a{}_a = g^{ab} R_{ab}$

The **Einstein tensor** is  $G_{ab} = R_{ab} - \frac{1}{2}Rg_{ab}$ .

In 4D  $G_{ab}$  has the same trace-free part but opposite trace as  $R_{ab}$ : Einstein is trace-reversed Ricci.

By the Bianchi identity,  $\nabla^a G_{ab} := g^{ac} \nabla_c G_{ab} = 0$



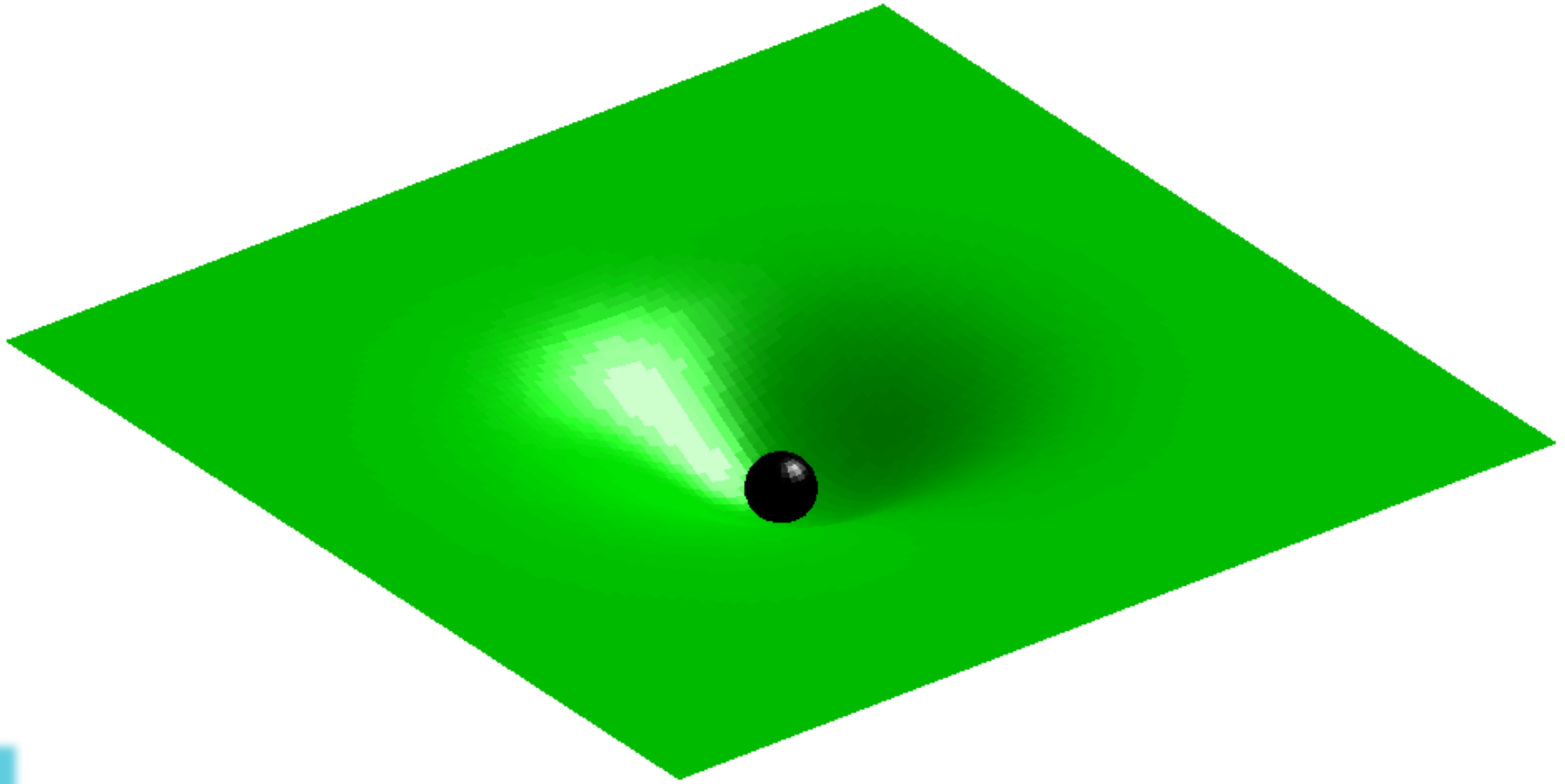
In a vacuum, the Einstein equations are simply

$$G_{ab} = 0$$

or  $R_{ab} = 0$ .

In GR we are interested in spacetimes, i.e., 4-manifolds endowed with a metric of signature  $-+++$  which satisfy the Einstein equations.

If matter is present, then  $G_{ab} = kT_{ab}$  where the stress-energy tensor  $T_{ab}$  comes from a matter model,  $k = \text{const.} = 8\pi G/c^4 = 2 \times 10^{-48} \text{ sec}^2/\text{g cm}$



If  $\phi : M \rightarrow N$  is any diffeomorphism of manifolds and we have a metric  $g$  on  $M$ , then we can push forward to get a metric  $\phi_*g$  on  $N$ . With this choice of metric  $\phi$  is an **isometry**. It is obvious that the Riemann/Ricci/scalar/Einstein curvature tensors associated with  $\phi_*g$  on  $N$  are just the push-forwards of the those associated with  $g$  on  $M$ . So if  $g$  satisfies the vacuum Einstein equations, so does  $\phi_*g$ .

In particular we can map a manifold to itself diffeomorphically, leaving it unchanged in all but a small region. This shows that the Einstein equations plus boundary conditions can never determine a unique metric on a manifold.

Uniqueness can never be for more than an equivalence class of metrics under diffeomorphism.

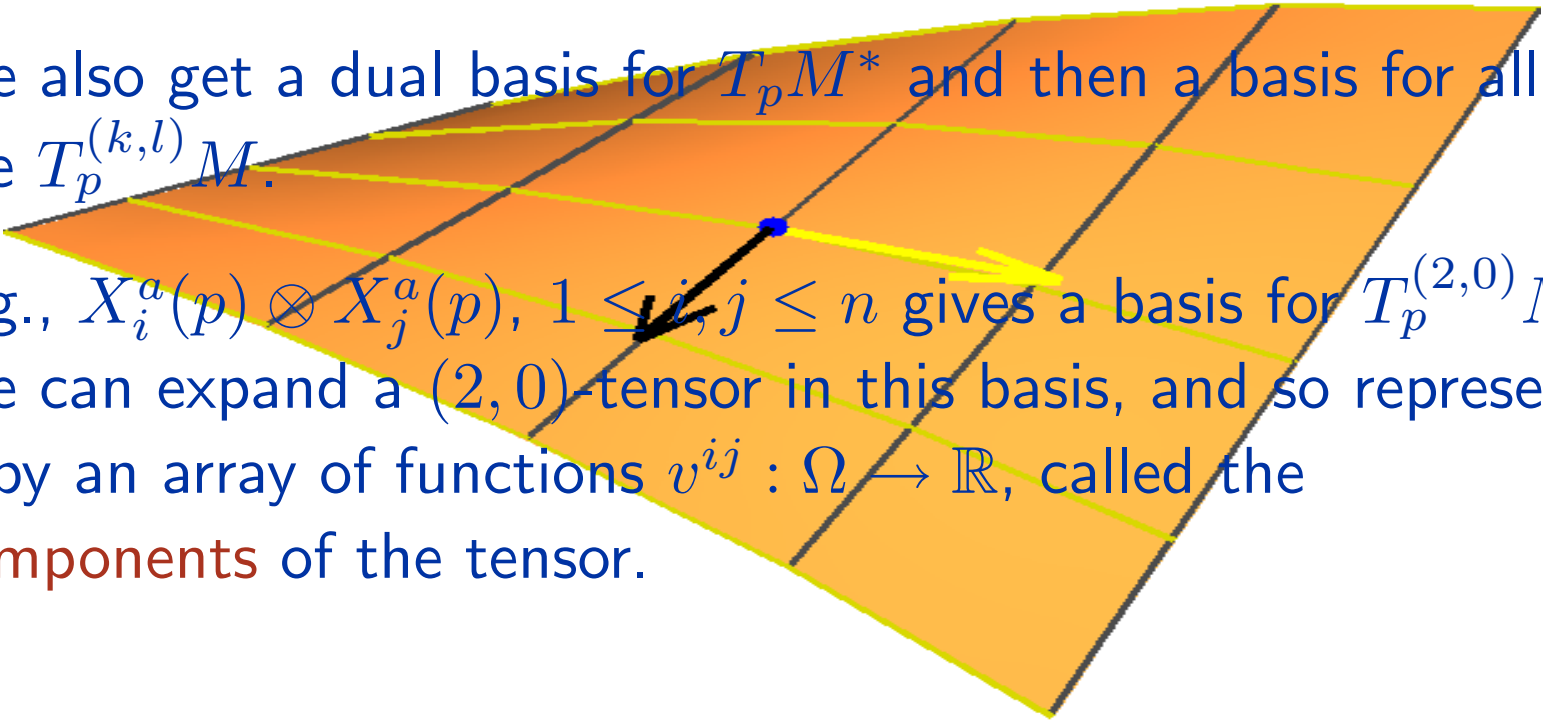
# The coordinate viewpoint: PDEs

## Coordinates and components

Let  $(x^1, \dots, x^n) : M \rightarrow \mathbb{R}^n$  be a diffeomorphism of  $M$  (often only part of  $M$ ) onto  $\Omega \subset \mathbb{R}^n$ . At each point we can pull back the standard basis of  $\mathbb{R}^n$  to a basis for  $T_p M$ . This coordinate-dependent choice of basis  $(X_1^a(p), \dots, X_n^a(p))$  at each point is the **coordinate frame**.

We also get a dual basis for  $T_p M^*$  and then a basis for all the  $T_p^{(k,l)} M$ .

E.g.,  $X_i^a(p) \otimes X_j^a(p)$ ,  $1 \leq i, j \leq n$  gives a basis for  $T_p^{(2,0)} M$ . We can expand a  $(2, 0)$ -tensor in this basis, and so represent it by an array of functions  $v^{ij} : \Omega \rightarrow \mathbb{R}$ , called the **components** of the tensor.



## Covariant differentiation in coordinates

If  $g_{ij}$  are the components of the metric and  $v^i$  are the components of some vector field  $v^b$ , then the components of the covariant derivative  $\nabla_a v^b$  are

$$\nabla_i v^j = \frac{\partial v^j}{\partial x^i} + \Gamma_{ik}^j v^k,$$

where

$$\Gamma_{jk}^i = \frac{1}{2} g^{il} \left( \frac{\partial g_{lk}}{\partial x^j} + \frac{\partial g_{jl}}{\partial x^k} - \frac{\partial g_{jk}}{\partial x^l} \right)$$

are the Christoffel symbols of the metric in the particular coordinate system. Similar formulas exist for the covariant derivative of tensors of any variance.

## Einstein equations in coordinates

$$(g^{ij}) = (g_{ij})^{-1}, \quad \Gamma_{jk}^i = \frac{1}{2}g^{il} \left( \frac{\partial g_{lk}}{\partial x^j} + \frac{\partial g_{jl}}{\partial x^k} - \frac{\partial g_{jk}}{\partial x^l} \right)$$

$$R_{ijk}^l = \frac{\partial \Gamma_{jk}^l}{\partial x^i} - \frac{\partial \Gamma_{ik}^l}{\partial x^j} + \Gamma_{jk}^m \Gamma_{mi}^l - \Gamma_{ik}^m \Gamma_{mj}^l$$

$$R_{ij} = R_{ilj}^l, \quad R = g^{ij} R_{ij}, \quad G_{ij} = R_{ij} - \frac{1}{2}Rg_{ij}$$

$$G_{ij} = \kappa T_{ij}$$

10 quasilinear second order equations in 10 unknowns and 4 independent variables, 1000s of terms

## Gauge freedom in coordinates

Given a second coordinate system  $(x'^1, \dots, x'^n) : M \rightarrow \Omega'$  we get a second set of component functions  $g'_{ij}$  for the same metric.

$$g_{ij}(x) = \frac{\partial \psi^k}{\partial x^i}(x) \frac{\partial \psi^l}{\partial x^j}(x) g'_{kl}(x'),$$

where  $\psi$  is  $\Omega \rightarrow M \rightarrow \Omega'$ .

$(g'_{ij})$  satisfies the vacuum Einstein equations iff  $(g_{ij})$  does.

This suggests that roughly 4 of the 10 components  $g_{ij}$  can be specified independently of the Einstein equations.



The Einstein equations are **simple geometrical equations** to be satisfied by a metric of signature  $-+++$  on the 4-manifold representing spacetime. More specifically, they constrain the curvature tensor associated to the metric.

It is evident, geometrically, that there is a great deal of **non-uniqueness** in the Einstein equations.

If we coordinatize the manifold the equations can be viewed as 10 **very complicated PDEs** for the 10 component functions of the metric.

For computational (and other) purposes it is better to view the Einstein equations not as equations for a 4-metric but as equations for a 3-metric that evolves in time. Stay tuned. . .